

JINDAL SOUTH WEST HOLDINGS LIMITED

SHAREHOLDING PATTERN AS ON 31.12.2011

(I) (a) Statement showing Shareholding Pattern

SCRIP CODE : 532642	Name of Scrip : JSWHL	Class of Security : Equity	Quarter Ended : 31.12.2011
Category Code	<u>Partly paid up shares</u>	Number of partly paid up shares	As a % of total number of partly paid up shares
	Held by Promoter/Promoter group	NIL	NIL
	Held by Public	NIL	NIL
	Total	NIL	NIL
	<u>Outstanding Convertible Securities</u>	Number of outstanding Securities	As a % of total No. of outstanding Con. Securities
	Held by Promoter/Promoter group	NIL	NIL
	Held by Public	NIL	NIL
	Total	NIL	NIL
	<u>Warrants</u>	Number of warrants	As a % of total number of warrants
	Held by Promoter/Promoter group	NIL	NIL
	Held by Public	NIL	NIL
	Total	NIL	NIL
	Total paid up capital of the Company, assuming full conversion of warrants and convertible securities	1,10,99,625 shares of Rs.10/- each (Rs.11,09,96,250/-)	
		100.00	

Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demat form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of		Number of Shares	As a %
					(A+B) ¹	(A+B+C)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/H.U.F	22	27642	27642	0.25	0.25	N.A.	N.A
(b)	Central/State Government(s)							
(c)	Bodies Corporate	18	6174794	6174794	55.63	55.63	N.A	N.A
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	Employee Welfare Trust							
	M&M Benefit Trust							
	Sub-Total (A)(1)	40	6202436	6202436	55.88	55.88	N.A.	N.A.
(2)	Foreign							
(a)	Non Resident Individuals/Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
	Sub_Total (A)(2)	0	0	0	0	0	N.A.	N.A.
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	40	6202436	6202436	55.88	55.88	N.A.	N.A.
(B)	Public Shareholding³							
(1)	Institutions							
(a)	Mutual Fund/UTI	14	4526	3665	0.04	0.04		
(b)	Financial Institutions/Banks	12	4004	3386	0.04	0.04		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	1	107172	107172	0.97	0.97		
(f)	Foreign Institutional Investors	30	1474243	1472981	13.28	13.28		
(g)	Foreign Venture Cap. Inv	0	0	0	0.00	0.00		
	Sub-Total (B)(1)	57	1589945	1587204	14.33	14.33	N. A.	N. A.
(2)	Non Institutions							
(a)	Bodies Corporate	1072	838243	832499	7.55	7.55		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	33101	2005967	1785624	18.07	18.07		
	ii) Holding nominal share capital in excess of Rs. 1 lakh	13	299551	299551	2.70	2.70		
(c)	Any Other(specify)							
	Foreign National	1463	153258	113258	1.38	1.38		
	Overseas Corporate Bodies	2	10025	10000	0.09	0.09		
	Foreign Bodies	0	0	0	0.00	0.00		
	Trust	1	200	200	0.00	0.00		
	Clearing Member	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	35652	3307244	3041132	29.79	29.79	N.A.	N.A
	Total Public shareholding (B)=(B)(1)+(B)(2)	35709	4897189	4628336	44.12	44.12	N.A.	N.A.
	TOTAL (A)+(B)	35749	11099625	10830772	100.00	100.00	N.A.	N.A
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group							
2	Public							
	GRAND TOTAL (A)+(B)+(C)	35749	11099625	10830772	100.00	100.00	0	N.A

(l) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (l)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	ABHYUDAY JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
2	AARTI JINDAL	250	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
3	DEEPIKA JINDAL	1356	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
4	NAVEEN JINDAL	691	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
5	NAVEEN JINDAL	664	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
6	PARTH JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
7	PRITHVI RAJ JINDAL	2097	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
8	PRITHVI RAJ JINDAL (H U F)	1122	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
9	RATAN JINDAL	3708	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
10	RATAN JINDAL	1470	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
11	SAJJAN JINDAL	2197	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
12	SAJJAN KUMAR JINDAL	1447	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
13	SANGITA JINDAL	1181	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
14	SAROJ BHARTIA	34	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
15	SAVITRI DEVI JINDAL	1863	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
16	SHRADHA JATIA	1257	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
17	SMINU JINDAL	1381	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
18	TANVI JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
19	TARINI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
20	TRIPTI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
21	URMILA BHUWALKA	900	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
22	URVI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
Sub - Total		27642	0.25	0	0.00	0.00	NA	NA	NA	NA	0.25
(b) Bodies Corporate											
1	Abhinandan Investments Ltd	143262	1.29	0	0.00	0.00	NA	NA	NA	NA	1.29
2	Colorado Trading Company Ltd	227060	2.05	0	0.00	0.00	NA	NA	NA	NA	2.05
3	Gagan Trading Company Ltd.	538137	4.85	0	0.00	0.00	NA	NA	NA	NA	4.85
4	Jindal Equipment Leasing & Consultancy Services Ltd	419584	3.78	0	0.00	0.00	NA	NA	NA	NA	3.78
5	Nalwa Sons Investments Ltd	75	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
6	Jindal Holdings Ltd	132095	1.19	0	0.00	0.00	NA	NA	NA	NA	1.19
7	Mansarover Investments Ltd	267637	2.41	0	0.00	0.00	NA	NA	NA	NA	2.41
8	Meredith Traders Pvt. Ltd.	3075	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
9	Nalwa Investments Ltd	273587	2.46	0	0.00	0.00	NA	NA	NA	NA	2.46
10	Nalwa Sons Investments Ltd	1137043	10.24	0	0.00	0.00	NA	NA	NA	NA	10.24
11	Reynold Traders Pvt. Ltd	320500	2.89	0	0.00	0.00	NA	NA	NA	NA	2.89
12	Rishikesh Finlease & Investments Pvt. Ltd.	1500	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
13	Stainless Investments Ltd	182734	1.65	0	0.00	0.00	NA	NA	NA	NA	1.65
14	Sun Investments Pvt. Ltd.	365407	3.29	0	0.00	0.00	NA	NA	NA	NA	3.29
15	Vrindavan Services Pvt. Ltd.	642400	5.79	0	0.00	0.00	NA	NA	NA	NA	5.79
16	Hexa Securities & Finance Co Ltd	94214	0.85	0	0.00	0.00	NA	NA	NA	NA	0.85
17	Hexa Tradex Limited	334	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
18	Groovy Trading Private Limited	1426150	12.85	0	0.00	0.00	NA	NA	NA	NA	12.85
Sub-Total		6174794	55.63	NIL	NIL	NIL	NA	NA	NA	NA	55.63
Grand Total		6202436	55.88	NIL	NIL	NIL	NA	NA	NA	NA	55.88

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations , 2011

(l)(c)(i) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.								
Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
1	SWISS FINANCE CORPORATION (MAURITIUS) LIMITED	657647	5.92	N.A.	N.A.	N.A.	N.A.	5.92
2	ACACIA PARTNERS,LP	300000	2.70	N.A.	N.A.	N.A.	N.A.	2.7
3	ACACIA INSTITUTIONAL PARTNERS,LP	150000	1.35	N.A.	N.A.	N.A.	N.A.	1.35
TOTAL		1107647	9.98	N.A.	N.A.	N.A.	N.A.	9.98

(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company								
Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above)	Details of warrants		Details of convertible securities		(including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
1	SWISS FINANCE CORPORATION (MAURITIUS) LIMITED	657647	5.92	NA	NA	NA	NA	5.92
TOTAL		657647	5.92	NA	NA	NA	NA	5.92

(1) (d) Statement showing details of locked in shares			
Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares {i.e. Grand Total(A)+(B)+C} indicated in statement at para(1)(a) above}
N.A.			
	TOTAL		

(II) (a) Statement showing details of Depository Receipts (DRS)				
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C} indicated in Statement at para (1)(a)above}
N.A.				
	TOTAL			

(II) (b) of the total no.of shares				
Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C} indicated in Statement at para (1)(a)above}
N.A.				
	TOTAL			

