

# JINDAL SOUTH WEST HOLDINGS LIMITED

SHAREHOLDING PATTERN AS ON 30.09.2012

## (I) (a) Statement showing Shareholding Pattern

SCRIP CODE : 532642	Name of Scrip : JSWHL	Class of Security : Equity	Quarter Ended : 30.09.2012		
Cate- gory Code	<u>Partly paid up shares</u>		Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company
	Held by Promoter/Promoter group		NIL	NIL	NIL
	Held by Public		NIL	NIL	NIL
	<b>Total</b>		<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
	<u>Outstanding Convertible Securities</u>		Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities
	Held by Promoter/Promoter group		NIL	NIL	NIL
	Held by Public		NIL	NIL	NIL
	<b>Total</b>		<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
	<u>Warrants</u>		Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants
	Held by Promoter/Promoter group		NIL	NIL	NIL
	Held by Public		NIL	NIL	NIL
	<b>Total</b>		<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
	<b>Total paid up capital of the Company, assuming full conversion of warrants and convertible securities</b>		<b>1,10,99,625 shares of Rs.10/- each (Rs.11,09,96,250/-)</b>		

Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of		Number of Shares	As a %
					(A+B) <sup>1</sup>	(A+B+C)		
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )	( VIII )	( IX )=(VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
<b>(1)</b>	<b>Indian</b>							
<b>(a)</b>	Individuals/H.U.F	22	27642	27642	0.25	0.25		
<b>(b)</b>	Central/State Government(s)	0	0	0	0.00	0.00		
<b>(c)</b>	Bodies Corporate	18	6174794	6174794	55.63	55.63		
<b>(d)</b>	Financial Institutions/Banks	0	0	0	0.00	0.00		
<b>(e)</b>	Any Other (specify)							
	Employee Welfare Trust	0	0	0	0.00	0.00		
	M&M Benefit Trust	0	0	0	0.00	0.00		
	<b>Sub-Total (A)(1)</b>	<b>40</b>	<b>6202436</b>	<b>6202436</b>	<b>55.88</b>	<b>55.88</b>	<b>N.A.</b>	<b>N.A.</b>
<b>(2)</b>	<b>Foreign</b>							
<b>(a)</b>	Non Resident Individuals/Foreign Nationals							
<b>(b)</b>	Bodies Corporate							
<b>(c)</b>	Institutions							
<b>(d)</b>	Any Other (specify)							
	<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>N.A.</b>	<b>N.A.</b>
	<b>Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>40</b>	<b>6202436</b>	<b>6202436</b>	<b>55.88</b>	<b>55.88</b>	<b>N.A.</b>	<b>N.A.</b>
<b>(B)</b>	<b>Public Shareholding<sup>3</sup></b>							
<b>(1)</b>	<b>Institutions</b>							
<b>(a)</b>	Mutual Fund/UTI	14	4526	3665	0.04	0.04		
<b>(b)</b>	Financial Institutions/Banks	10	1168	550	0.01	0.01		
<b>(c)</b>	Central/State Government(s)	0	0	0	0.00	0.00		
<b>(d)</b>	Venture Capital Funds	0	0	0	0.00	0.00		
<b>(e)</b>	Insurance Companies	1	107172	107172	0.96	0.96		
<b>(f)</b>	Foreign Institutional Investors	29	643607	642345	5.80	5.80		
<b>(g)</b>	Foreign Venture Cap. Inv	0	0	0	0.00	0.00		
	<b>Sub-Total (B)(1)</b>	<b>54</b>	<b>756473</b>	<b>753732</b>	<b>6.81</b>	<b>6.81</b>	<b>N.A.</b>	<b>N.A.</b>
<b>(2)</b>	<b>Non Institutions</b>							
<b>(a)</b>	Bodies Corporate	1217	1273025	1267281	11.47	11.47		
<b>(b)</b>	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	33924	2334933	2123027	21.04	21.04		
	ii) Holding nominal share capital in excess of Rs. 1 lakh	14	346398	343698	3.12	3.12		
<b>(c)</b>	Any Other(specify) Individual							
	Non-Resident Individual	1445	176135	137860	1.59	1.59		
	Foreign National	0	0	0	0.00	0.00		
	Overseas Corporate Bodies	2	10025	10000	0.09	0.09		
	Foreign Bodies	0	0	0	0.00	0.00		
	Trust	1	200	200	0.00	0.00		
	Clearing Member	0	0	0	0.00	0.00		
	<b>Sub-Total (B)(2)</b>	<b>36603</b>	<b>4140716</b>	<b>3882066</b>	<b>37.31</b>	<b>37.31</b>	<b>N.A.</b>	<b>N.A.</b>
	Total Public shareholding (B)=(B)(1)+(B)(2)	36657	4897189	4635798	44.12	44.12		
	<b>TOTAL (A)+(B)</b>	<b>36697</b>	<b>11099625</b>	<b>10838234</b>	<b>100.00</b>	<b>100.00</b>	<b>N.A.</b>	<b>N.A.</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
<b>1</b>	Promoter and Promoter Group	0	0	0	0.00	0.00		
<b>2</b>	Public	0	0	0	0.00	0.00		
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>36697</b>	<b>11099625</b>	<b>10838234</b>	<b>100.00</b>	<b>100.00</b>	<b>N.A.</b>	<b>N.A.</b>

## (I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
( I )	( II )	( III )	( IV )	( V )	( VI ) = (V)/(III)*100	( VII )	(VIII)	(IX)	(X)	(XI)	(XII)
(a)	<b>Individuals</b>										
1	ABHYUDAY JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
2	AARTI JINDAL	250	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
3	DEEPIKA JINDAL	1356	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
4	NAVEEN JINDAL & SONS (HUF)	691	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
5	NAVEEN JINDAL	664	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
6	PARTH JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
7	PRITHVI RAJ JINDAL	2097	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
8	PRITHVI RAJ JINDAL H U F	1122	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
9	R.K. JINDAL & SONS HUF	3708	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
10	RATAN JINDAL	1470	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
11	SAJJAN JINDAL	2197	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
12	S K JINDAL AND SONS HUF	1447	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
13	SANGITA JINDAL	1181	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
14	SAROJ BHARTIA	34	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
15	SAVITRI DEVI JINDAL	1863	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
16	SHRADHA JATIA	1257	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
17	SMINU JINDAL	1381	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
18	TANVI JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
19	TARINI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
20	TRIPTI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
21	URMILA BHUWALKA	900	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
22	URVI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
	<b>Sub - Total (a)</b>	<b>27642</b>	<b>0.25</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>0.25</b>

Contd..

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group" (contd)

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
( I )	( II )	( III )	( IV )	( V )	( VI ) = (V)/(III)*100	( VII )	(VIII)	(IX)	(X)	(XI)	(XII)
1	Abhinandan Investments Ltd	143262	1.29	0	0.00	0.00	NA	NA	NA	NA	1.29
2	Colorado Trading Company Ltd	227060	2.05	0	0.00	0.00	NA	NA	NA	NA	2.05
3	Gagan Trading Company Ltd.	538137	4.85	0	0.00	0.00	NA	NA	NA	NA	4.85
4	Jindal Equipment Leasing & Consultancy Services Ltd	419584	3.78	0	0.00	0.00	NA	NA	NA	NA	3.78
5	Nalwa Sons Investments Ltd	75	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
6	Jindal Holdings Ltd	132095	1.19	0	0.00	0.00	NA	NA	NA	NA	1.19
7	Mansarover Investments Ltd	267637	2.41	0	0.00	0.00	NA	NA	NA	NA	2.41
8	Meredith Traders Pvt. Ltd.	3075	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
9	Nalwa Investments Ltd	273587	2.46	0	0.00	0.00	NA	NA	NA	NA	2.46
10	Nalwa Sons Investments Ltd	1137043	10.24	0	0.00	0.00	NA	NA	NA	NA	10.24
11	Reynold Traders Pvt. Ltd	320500	2.89		0.00	0.00	NA	NA	NA	NA	2.89
12	Rishikesh Finlease & Investments Pvt. Ltd.	1500	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
13	Stainless Investments Ltd	182734	1.65	0	0.00	0.00	NA	NA	NA	NA	1.65
14	Sun Investments Pvt. Ltd.	365407	3.29	0	0.00	0.00	NA	NA	NA	NA	3.29
15	Vrindavan Services Pvt. Ltd.	642400	5.79	0	0.00	0.00	NA	NA	NA	NA	5.79
16	Hexa Securities & Finance Co Ltd	94214	0.85	0	0.00	0.00	NA	NA	NA	NA	0.85
17	Hexa Tradex Limited	334	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
18	Groovy Trading Private Limited	1426150	12.85	0	0.00	0.00	NA	NA	NA	NA	12.85
	Sub-Total (b)	6174794	55.63	0	0.00	0.00	NA	NA	NA	NA	55.63
	Grand Total	6202436	55.88	0	0.00	0.00	NA	NA	NA	NA	55.88

(\*) The term "encumbrance" has the same meaning as assigned to it, in regulation 28(3) of the SAST Regulations , 2011



<b>(I) (d) Statement showing details of locked in shares</b>			
<b>Sr. No.</b>	<b>Name of shareholder</b>	<b>No. of locked-in shares</b>	<b>Locked-in shares as percentage of total no. of shares {i.e. Grand Total(A)+(B)+C} indicated in statement at para(1)(a) above}</b>
N.A.			
	<b>TOTAL</b>		

<b>(II) (a) Statement showing details of Depository Receipts (DRS)</b>				
<b>Sr. No.</b>	<b>Type of outstanding Dr(ADRs, GDRs, SDRs, etc)</b>	<b>No. of DRs</b>	<b>No. of shares underlying outstanding DRs</b>	<b>Shares underlying outstanding DRs as a percentage of total no. of shares {i.e. Grand Total (A)+(B)+C} indicated in Statement at para (1)(a)above}</b>
N.A.				
	<b>TOTAL</b>			

<b>(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter / promoter group" are in excess of 1% of the total no.of shares</b>				
<b>Sr. No.</b>	<b>Name of the DR Holder</b>	<b>Type of outstanding DR(ADRs, GDRs, SDRs, etc.)</b>	<b>No. of shares underlying outstanding DRs.</b>	<b>Shares underlying outstanding DRs as a percentage of total no. of shares {i.e. Grand Total (A)+(B)+C} indicated in Statement at para (1)(a)above}</b>
N.A.				
	<b>TOTAL</b>			

